Office of the Attorney General

MISSION

The Office of the Attorney General (OAG) represents the State in all legal matters. The Attorney General acts as legal counsel to the Governor, General Assembly, Judiciary and State agencies, boards and commissions.

VISION

The Office of the Attorney General provides superior legal representation and promotes public safety by providing the highest quality of legal services from a staff that is dedicated to excellence and professionalism. The Office of the Attorney General demonstrates integrity by being ethical and accountable and making principled decisions. The Office of the Attorney General embraces diversity as well as teamwork.

KEY GOALS, OBJECTIVES, AND PERFORMANCE MEASURES

Goal 1. **To complete bill review in a timely manner.**

    Obj. 1.1 Complete the Attorney General's review of bills waiting on the Governor's signature no later than seven days prior to the last bill signing.

Performance Measures	2016 Act.	2017 Act.	2018 Act.	2019 Act.	2020 Act.	2021 Est.	2022 Est.
Number of bills for review	834	935	889	864	681	864	864
Average number of bills reviewed per day/number of calendar days	22/38	39/24	28/32	32/27	15/44	32/27	32/27

Goal 2. **Handle all Securities Division matters.**

    Obj. 2.1 To handle all Securities Division matters effectively.

Performance Measures	2016 Act.	2017 Act.	2018 Act.	2019 Act.	2020 Act.	2021 Est.	2022 Est.
Broker/dealer (firm) registration and renewals	1,987	1,951	1,927	1,880	1,851	1,775	1,800
Registered agents (stockbrokers)	201,233	201,132	207,214	210,082	209,987	200,000	200,000
Investment adviser/financial planner (firm) registrations and renewals	618	628	619	622	616	575	575
Federal Covered Adviser notice filings	1,993	2,043	2,148	2,151	2,206	2,150	2,150
Investment adviser/financial planner representative (individual) registration, renewals and notice filings	12,193	12,934	13,036	13,292	13,104	12,750	12,750
Securities registrations, renewals, and exemption and notice filings	31,301	33,094	31,590	31,684	30,601	30,000	30,000
Franchise registration and renewals	1,624	1,677	1,703	1,692	1,585	1,500	1,500
Active cases, investigations and inquiries	1,313	1,975	1,431	1,005	958	750	750
Registration fees ($)	28,770,692	29,055,710	31,847,667	34,082,246	28,286,101	27,000,000	27,000,000
Fines imposed, restitution and rescission ($)	914,505	1,928,977	7,800,789	6,228,751	17,857,904	18,000,000	2,000,000

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Goal 3. Handle all Consumer Protection Division (CPD) matters, including inquiries, complaints, arbitration, and hearings.

    Obj. 3.1 Handle consumer protection matters in a timely manner to achieve beneficial outcome to consumers.

    Obj. 3.2 Maintain an average time of no more than 110 days between the receipt of a consumer complaint and its disposition.

Performance Measures	2016 Act.	2017 Act.	2018 Act.	2019 Act.	2020 Act.	2021 Est.	2022 Est.
Inquiries	46,808	41,808	41,251	43,418	34,878	35,000	40,000
Complaints	11,456	10,991	10,352	10,467	10,900	10,500	10,500
Arbitrations	56	79	68	43	35	15	60
Cease and Desist Orders and Settlements	54	53	48	31	61	35	35
Recoveries for consumers ($ millions)	12.820	23.618	13.257	25.894	26.271	8.000	8.000
Average days to complaint disposition	66	75	69	83	74	90	85

Goal 4. Handle all antitrust matters.

    Obj. 4.1 Handle all antitrust matters for beneficial outcome for the State of Maryland and its citizens.

Performance Measures	2016 Act.	2017 Act.	2018 Act.	2019 Act.	2020 Act.	2021 Est.	2022 Est.
Investigations, inquiries and advice	576	676	428	421	424	400	400
Enforcement actions	19	23	26	32	35	35	35
Parens patriae	4	4	4	5	5	5	5
Other civil	15	19	22	28	28	28	28
Criminal	0	0	0	0	0	0	0
Antitrust defense	0	0	0	0	0	0	0
Amicus briefs	2	1	2	2	0	1	1
Debarments	91	78	81	83	83	85	85
Funds recovered for State ($)	691,705	1,558,695	2,230,998	42,533	257,624	1,000,000	1,000,000
Funds recovered for Maryland subdivisions ($)	0	350,154	0	1,552,474	405,209	0	0
Funds recovered for consumers ($)	9,456,773	2,000,000	1,300,000	2,358,006	1,171,129	1,000,000	1,000,000

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Goal 5. **Handle all Medicaid Fraud Control Unit (MFCU) matters.**

Obj. 5.1 Handle all MFCU matters to protect the integrity of the Medicaid program and to help protect vulnerable adults.

Performance Measures	2016 Act.	2017 Act.	2018 Act.	2019 Act.	2020 Act.	2021 Est.	2022 Est.
Cases pending beginning of year	369	435	419	389	302	350	350
New cases	345	208	137	142	193	175	175
Total	714	643	556	531	495	525	525
Fraud cases opened	115	123	85	93	136	125	125
Patient abuse cases opened	230	84	39	49	67	50	50
Investigations completed	279	230	168	222	176	175	175
Cases pending end of fiscal year	435	423	388	309	329	350	350
Criminal charges	12	13	17	8	7	5	12
Civil settlements	15	26	27	20	17	15	20
Fines, settlements, restitution, and/or overpayments identified ($)	10,119,868	3,875,253	15,629,347	6,984,305	32,757,530	10,000,000	10,000,000

Goal 6. **To conduct investigations and request the Maryland Insurance Commissioner to initiate actions or proceedings to protect the interests of insurance consumers.**

Obj. 6.1 Research matters thoroughly and advocate for an appropriate judicial or legislative resolution that will serve the interests of insurance consumers.

Performance Measures	2016 Act.	2017 Act.	2018 Act.	2019 Act.	2020 Act.	2021 Est.	2022 Est.
Review of Maryland Insurance Commissioner actions	1,043	996	962	1,080	974	1,000	1,000
Investigations conducted	86	58	149	161	124	125	125
Requests for Commissioner action	87	30	36	16	19	20	20
Legislative activity	9	7	1	7	13	10	10

Goal 7. **To ensure that its attorneys, and all attorneys in the Office of the Attorney General who litigate, provide uniformly high quality litigation services to our clients, Maryland's state agencies, officers and employees.**

Obj. 7.1 Litigating those cases most important to the core functions and governmental policies of the State of Maryland, and to the rights and interests of its citizens, or that are of a complexity and size that require special expertise, resources, or attention, and supervising the litigation of other significant cases.

Performance Measures	2016 Act.	2017 Act.	2018 Act.	2019 Act.	2020 Act.	2021 Est.	2022 Est.
Total number of matters litigated by court jurisdiction	125	143	165	205	238	202	202
State Courts	83	81	92	102	100	98	98
Federal Courts	31	31	37	74	94	68	68
Miscellaneous	11	31	36	29	44	36	36

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Goal 8. **Handle all Criminal Appeals Division matters and represent the State in criminal appeals.**

    Obj. 8.1 To competently and efficiently handle all matters assigned to the Division.

    Obj. 8.2 To effectively represent the State in criminal cases pending before the appellate courts.

Performance Measures	2016 Act.	2017 Act.	2018 Act.	2019 Act.	2020 Act.	2021 Est.	2022 Est.
Federal cases filed and assigned	86	81	92	80	72	65	80
State cases filed and assigned	1,122	1,077	962	1,173	994	800	1,025
Dispositions from State court: cases handled by the Division	749	708	677	545	616	520	666
Successful cases	653	613	599	447	529	416	533
Percent successful	87%	87%	88%	82%	86%	80%	80%

Goal 9. **Thoroughly and conscientiously evaluate, investigate and, where appropriate, prosecute significant criminal conduct referred to or otherwise identified by the Criminal Investigation Division (CID), including but not necessarily limited to fraud within or affecting State government, health care fraud, identity fraud, securities fraud, non-State employee misconduct, tax fraud, embezzlement and other business crimes, computer crimes, firearms offenses, gang violence, referrals from local State's Attorneys, consumer fraud and multi-jurisdictional criminal conduct.**

    Obj. 9.1 Properly identify, evaluate, investigate and, where appropriate prosecute CID matters so as to maximize effective and ethical delivery of Division investigative, criminal justice and prosecutorial resources.

Performance Measures	2016 Act.	2017 Act.	2018 Act.	2019 Act.	2020 Act.	2021 Est.	2022 Est.
Matters litigated: Maryland Court of Special Appeals	0	0	0	2	0	0	0
Total Division referrals and general unit activity	298	208	N/A	285	378	285	290
Organized Crime Unit							
Indictments	66	53	66	53	25	45	45
Conviction Rate	94%	97%	98%	95%	87%	90%	90%
Fraud and Corruption Unit							
Indictments	13	9	36	14	13	15	16
Conviction Rate	100%	95%	100%	100%	100%	93%	94%

Goal 10. **Increase knowledge and expertise in new areas such as bankruptcy, tech transfers and labor law.**

    Obj 10.1 Assist clients to resolve bankruptcy and labor issues and to bring scientific discoveries to market.

Performance Measures	2016 Act.	2017 Act.	2018 Act.	2019 Act.	2020 Act.	2021 Est.	2022 Est.
Federal Cases	27	23	23	24	45	35	35
State Cases	59	63	53	58	46	50	50
Administration	67	94	67	70	42	40	40
Advice	2,400	2,500	3,450	3,450	3,450	3,450	3,450
Contracts drafted/reviewed	1,300	1,400	1,680	1,680	1,680	1,680	1,680