

Office of the Attorney General

MISSION

The Office of the Attorney General (OAG) represents the State in all legal matters. The Attorney General acts as legal counsel to the Governor, General Assembly, Judiciary and State agencies, boards and commissions.

VISION

The Office of the Attorney General provides superior legal representation and promotes public safety by providing the highest quality of legal services from a staff that is dedicated to excellence and professionalism. The Office of the Attorney General demonstrates integrity by being ethical and accountable and making principled decisions. The Office of the Attorney General embraces diversity as well as teamwork.

KEY GOALS, OBJECTIVES, AND PERFORMANCE MEASURES

Goal 1. To complete bill review in a timely manner.

Obj. 1.1 Complete the Attorney General's review of bills waiting on the Governor's signature no later than seven days prior to the last bill signing.

Performance Measures	2011 Act.	2012 Act.	2013 Act.	2014 Act.	2015 Act.	2016 Est.	2017 Est.
Number of bills for review	707	791	763	811	692	750	750
Average number of bills reviewed per day/number of calendar days	16.0 / 45	18.8 / 42	20.6 / 37	21.3 / 38	23.9 / 29	19.7 / 38	19.7 / 38

Goal 2. Handle all Securities Division matters.

Obj. 2.1 To handle all Securities Division matters effectively.

Performance Measures	2011 Act.	2012 Act.	2013 Act.	2014 Act.	2015 Act.	2016 Est.	2017 Est.
Broker/dealer (firm) registration and renewals	2,183	2,114	2,065	2,032	2,008	1,970	1,950
Registered agents (stockbrokers)	177,642	179,283	179,254	186,272	193,159	193,000	193,000
Investment adviser/financial planner (firm) registrations and renewals	552	610	633	671	624	600	600
Federal Covered Adviser notice filings	1,737	1,774	1,717	1,851	1,910	1,900	1,900
Investment adviser/financial planner representative (individual) registration, renewals and notice filings	10,316	10,381	10,759	11,491	11,626	11,500	11,500
Securities registrations, renewals, and exemption and notice filings	24,140	26,744	27,303	29,995	30,191	30,000	30,000
Franchise registration and renewals	1,413	1,463	1,489	1,498	1,604	1,600	1,600
Active cases, investigations and inquiries	1,122	1,132	1,047	1,104	929	900	900
Registration fees (\$)	22,793,633	25,912,751	25,107,209	26,475,585	27,929,267	27,000,000	27,000,000
Fines imposed, restitution and rescission (\$)	750,756,219	83,779,821	2,263,872	2,376,078	75,833,977	2,000,000	2,000,000

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Goal 3. Handle all Consumer Protection Division (CPD) matters, including inquiries, complaints, arbitration, and hearings.

Obj. 3.1 Handle consumer protection matters in a timely manner to achieve beneficial outcome to consumers.

Obj. 3.2 Maintain an average time of no more than 110 days between the receipt of a consumer complaint and its disposition.

Performance Measures	2011 Act.	2012 Act.	2013 Act.	2014 Act.	2015 Act.	2016 Est.	2017 Est.
Inquiries	47,550	50,872	48,273	45,691	44,062	44,000	44,000
Complaints	11,904	11,648	11,335	10,415	10,522	11,000	11,000
Arbitrations	102	83	75	57	62	75	80
Cease and Desist Orders and Settlements	101	85	104	110	106	50	50
Recoveries for consumers (\$)	14,185,994	240,174,770	#####	8,685,965	14,901,990	5,500,000	5,500,000
Average days to complaint disposition	49	53	52	55	65	80	80

Goal 4. Handle all antitrust matters.

Obj. 4.1 Handle all antitrust matters for beneficial outcome for the State of Maryland and its citizens.

Performance Measures	2011 Act.	2012 Act.	2013 Act.	2014 Act.	2015 Act.	2016 Est.	2017 Est.
Investigations, inquiries and advice	572	592	578	630	580	550	550
Enforcement actions	20	25	19	19	18	20	20
Parens patriae	5	8	5	5	4	5	5
Other civil	15	17	14	14	14	15	15
Criminal	-	-	-	-	-	-	-
Antitrust defense	1	-	-	-	1	1	1
Amicus briefs	2	1	2	2	2	1	1
Debarments	72	79	79	79	85	88	88
Funds recovered for State (\$)	81,000	568,000	600,400	38,250	477,628	58,500	650,000
Funds recovered for Maryland subdivisions (\$)	-	-	-	-	-	-	750,000
Funds recovered for consumers (\$)	-	3,000,000	4,000,000	3,800,000	1,710,000	4,900,000	1,000,000

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Goal 5. Handle all Medicaid Fraud Control Unit (MFCU) matters.

Obj. 5.1 Handle all MFCU matters to protect the integrity of the Medicaid program and to help protect vulnerable adults.

Performance Measures	2011 Act.	2012 Act.	2013 Act.	2014 Act.	2015 Act.	2016 Est.	2017 Est.
¹ Cases pending beginning of year	62	151	255	328	295	370	470
New cases	134	167	262	261	370	350	350
Total	196	318	517	589	665	720	820
Fraud complaints	183	130	145	103	140	150	150
Patient abuse complaints	309	215	212	221	336	350	350
Investigations completed	88	243	189	294	295	250	250
Cases pending end of fiscal year	151	255	329	295	370	470	570
Criminal charges	5	22	9	17	8	15	20
Civil settlements	15	15	20	30	13	15	20
² Fines, settlements, restitution, and/or overpayments identified (\$)	20,965,450	9,716,666	20,583,916	42,160,499	7,992,335	7,000,000	7,000,000

Goal 6. To conduct investigations and request the Maryland Insurance Commissioner to initiate actions or proceedings to protect the interests of insurance consumers.

Obj. 6.1 Research matters thoroughly and advocate for an appropriate judicial or legislative resolution that will serve the interests of insurance consumers.

Performance Measures	2011 Act.	2012 Act.	2013 Act.	2014 Act.	2015 Act.	2016 Est.	2017 Est.
Review of Maryland Insurance Commissioner actions	1,058	1,241	1,321	1,314	932	1,200	1,200
Investigations conducted	13	116	109	151	111	120	120
Requests for Commissioner action	7	11	9	27	39	30	30
Legislative activity	17	21	9	11	11	10	10

Goal 7. To ensure that its attorneys, and all attorneys in the Office of the Attorney General who litigate, provide uniformly high quality litigation services to our clients, Maryland's state agencies, officers and employees.

Obj. 7.1 Litigating those cases most important to the core functions and governmental policies of the State of Maryland, and to the rights and interests of its citizens, or that are of a complexity and size that require special expertise, resources, or attention, and supervising the litigation of other significant cases.

Performance Measures	2011 Act.	2012 Act.	2013 Act.	2014 Act.	2015 Act.	2016 Est.	2017 Est.
Total number of matters litigated by court jurisdiction	165	159	136	145	109	110	110
State Courts	103	95	87	89	68	70	70
Federal Courts	50	45	28	37	26	25	25
Miscellaneous	12	19	21	19	15	15	15

Office of the Attorney General

Goal 8. Handle all Criminal Appeals Division matters and represent the State in criminal appeals.

Obj. 8.1 To competently and efficiently handle all matters assigned to the Division.

Obj. 8.2 To achieve the highest level of affirmances in criminal convictions.

Performance Measures	2011 Act.	2012 Act.	2013 Act.	2014 Act.	2015 Act.	2016 Est.	2017 Est.
Federal cases filed and assigned	66	59	91	76	84	80	80
State cases filed and assigned	1,165	1,016	1,018	1,183	1,151	1,150	1,150
Dispositions from State court: cases handled by the Division	810	840	657	928	797	800	800
Successful cases	667	712	601	844	715	720	720
Percent successful	82%	85%	91%	91%	90%	90%	90%

Goal 9. Thoroughly and conscientiously evaluate, investigate and, where appropriate, prosecute significant criminal conduct referred to or otherwise identified by the Criminal Investigation Division (CID), including but not necessarily limited to fraud within or affecting State government, health care fraud, identity fraud, securities fraud, non-State employee misconduct, tax fraud, embezzlement and other business crimes, computer crimes, firearms offenses, gang violence, referrals from local State's Attorneys, consumer fraud and multi-jurisdictional criminal conduct.

Obj. 9.1 Properly identify, evaluate, investigate and, where appropriate prosecute CID matters so as to maximize effective and ethical delivery of Division investigative, criminal justice and prosecutorial resources.

Performance Measures	2011 Act.	2012 Act.	2013 Act.	2014 Act.	2015 Act.	2016 Est.	2017 Est.
Matters litigated: Maryland Court of Special Appeals	1	-	-	-	-	-	-
Maryland Trial Courts-Appealances	266	344	210	235	172	250	275
³ Total Division referrals and general unit activity	4,657	4,529	4,439	2,433	9,012	2,500	2,500
Investigations conducted - litigation pending (all units)	652	824	599	506	1,488	300	300
Investigations conducted - no litigation (all units)	2,462	2,178	2,128	1,075	1,439	200	200

Goal 10. Increase knowledge and expertise in new areas such as bankruptcy, tech transfers and labor law.

Obj. 10.1 Assist clients to resolve bankruptcy and labor issues and to bring scientific discoveries to market.

Performance Measures	2011 Act.	2012 Act.	2013 Act.	2014 Act.	2015 Act.	2016 Est.	2017 Est.
Federal Cases	27	32	27	30	37	30	30
State Cases	69	98	69	72	83	80	80
Administration	118	135	118	107	60	120	120
Advice	3,538	3,440	3,538	2,354	1,950	2,100	2,500
Contracts drafted/reviewed	2,282	2,421	2,282	1,480	1,200	1,200	1,200

Office of the Attorney General

Goal 11. To help communities damaged by predatory lending and high numbers of foreclosures by acquiring and renovating homes, demolishing homes that are abandoned and cannot be renovated, and by providing assistance to homeowners moving into vacant or foreclosed housing.

- Obj 11.1** Acquire, rehabilitate and maintain occupancy of affordable housing by owners and tenants.
- Obj 11.2** Create open space and opportunities for new development where housing is abandoned and in serious disrepair.
- Obj 11.3** Assist homebuyers to obtain affordable housing.
- Obj 11.4** Provide affordable housing for tenants.

Performance Measures	2011 Act.	2012 Act.	2013 Act.	2014 Act.	2015 Act.	2016 Est.	2017 Est.
Houses acquired, rehabilitated and preserved	N/A	N/A	N/A	18	72	20	10
Houses demolished	N/A	N/A	N/A	57	113	100	20
New homeowners assisted with purchase	N/A	N/A	N/A	247	204	75	20
Tenants provided affordable housing	N/A	N/A	N/A	-	11	20	20
Estimated grant budget breakdown: Baltimore City (\$)	N/A	N/A	N/A	1,377,811	832,826	-	-
⁴ Prince George's County (\$)	N/A	N/A	N/A	510,022	2,772,897	1,000,000	-
Total (\$)	N/A	N/A	N/A	1,887,833	3,605,723	1,000,000	-

Goal 12. To investigate potential housing and mortgage-related unfair and deceptive practices and bring enforcement actions where violations of the law are found.

- Obj 12.1** Address inquiries from consumers and investors who complain about fraud in mortgage lending, foreclosure and mortgage securitization practices.
- Obj 12.2** Investigate possible abuses in the housing and securitization markets, including inappropriate actions in connection with properties in default, court filings, unfair and illegal treatment of tenants, and misleading disclosures to investors about mortgage-backed securities.
- Obj 12.3** Take enforcement action to protect consumers and investors.

Performance Measures	2011 Act.	2012 Act.	2013 Act.	2014 Act.	2015 Act.	2016 Est.	2017 Est.
Complaints/inquiries addressed	N/A	N/A	390	273	194	100	75
Investigations: Consumer Protection investigations	N/A	N/A	26	34	21	15	15
Securities investigations	N/A	N/A	2	2	1	1	1
Transactions involved in investigations/actions	N/A	N/A	84,000	32,240	14,565	5,000	3,000
Actions/settlements	N/A	N/A	1	6	15	11	10

NOTES

¹ Cases pending at the beginning of the fiscal year 2014 differ from end of fiscal year 2013 because one was incorrectly counted at end of fiscal year 2013.

² This amount includes State and federal settlements.

³ This number is calculated differently than previous years to include the FTU referral numbers. Because of an end to the grant funding and a reorganization of the Division, those referrals will not be counted in future years.

⁴ A budget amendment appropriated \$4.5 million of the Maryland Mortgage Program, Prince George's County funding, to the Department of Housing and Community Development (DHCD). A Memorandum of Understanding between OAG, DHCD and Prince George's County directed that funding by used to administer an initiative to promote homeownership in Prince George's County.