

Office of the Attorney General

MISSION

The Office of the Attorney General (OAG) represents the State in all legal matters. The Attorney General acts as legal counsel to the Governor, General Assembly, Judiciary and State agencies, boards and commissions.

VISION

The Office of the Attorney General provides superior legal representation and promotes public safety by providing the highest quality of legal services from a staff that is dedicated to excellence and professionalism. The Office of the Attorney General demonstrates integrity by being ethical and accountable and making principled decisions. The Office of the Attorney General embraces diversity as well as teamwork.

KEY GOALS, OBJECTIVES, AND PERFORMANCE MEASURES

Goal 1. To complete bill review in a timely manner.

Obj. 1.1 Complete the Attorney General's review of bills waiting on the Governor's signature no later than seven days prior to the last bill signing.

Performance Measures	2014 Act.	2015 Act.	2016 Act.	2017 Act.	2018 Act.	2019 Est.	2020 Est.
Number of bills for review	811	692	834	935	889	889	889
Average number of bills reviewed per day/number of calendar days	21.3 / 38	23.9 / 29	22 / 38	39/24	28/32	28/32	28/32

Goal 2. Handle all Securities Division matters.

Obj. 2.1 To handle all Securities Division matters effectively.

Performance Measures	2014 Act.	2015 Act.	2016 Act.	2017 Act.	2018 Act.	2019 Est.	2020 Est.
Broker/dealer (firm) registration and renewals	2,032	2,008	1,987	1,951	1,927	1,900	1,875
Registered agents (stockbrokers)	186,272	193,159	201,233	201,132	207,214	200,000	200,000
Investment adviser/financial planner (firm) registrations and renewals	671	624	618	628	619	600	600
Federal Covered Adviser notice filings	1,851	1,910	1,993	2,043	2,148	2,100	2,100
Investment adviser/financial planner representative (individual) registration, renewals and notice filings	11,491	11,626	12,193	12,934	13,036	12,750	12,750
Securities registrations, renewals, and exemption and notice filings	29,995	30,191	31,301	33,094	31,590	30,000	30,000
Franchise registration and renewals	1,498	1,604	1,624	1,677	1,703	1,650	1,650
Active cases, investigations and inquiries	1,104	929	1,313	1,975	1,431	1,200	1,200
Registration fees (\$)	26,475,585	27,929,267	28,770,692	29,055,710	31,847,667	31,500,000	31,500,000
Fines imposed, restitution and rescission (\$)	2,376,078	75,833,977	914,505	1,928,977	7,800,789	1,000,000	1,000,000

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Goal 3. Handle all Consumer Protection Division (CPD) matters, including inquiries, complaints, arbitration, and hearings.

Obj. 3.1 Handle consumer protection matters in a timely manner to achieve beneficial outcome to consumers.

Obj. 3.2 Maintain an average time of no more than 110 days between the receipt of a consumer complaint and its disposition.

Performance Measures	2014 Act.	2015 Act.	2016 Act.	2017 Act.	2018 Act.	2019 Est.	2020 Est.
Inquiries	45,691	44,062	46,808	41,808	41,251	40,000	39,000
Complaints	10,415	10,522	11,456	10,991	10,352	10,500	10,700
Arbitrations	57	62	56	79	68	75	75
Cease and Desist Orders and Settlements	110	106	54	53	48	36	36
Recoveries for consumers (\$ millions)	8.686	14.902	12.820	23.618	13.257	7.000	7.000
Average days to complaint disposition	55	65	66	75	69	80	80

Goal 4. Handle all antitrust matters.

Obj. 4.1 Handle all antitrust matters for beneficial outcome for the State of Maryland and its citizens.

Performance Measures	2014 Act.	2015 Act.	2016 Act.	2017 Act.	2018 Act.	2019 Est.	2020 Est.
Investigations, inquiries and advice	630	580	576	676	428	400	400
Enforcement actions	19	18	19	23	26	26	20
Parens patriae	5	4	4	4	4	4	4
Other civil	14	14	15	19	22	22	16
Criminal	0	0	0	0	0	0	0
Antitrust defense	0	1	0	0	0	0	0
Amicus briefs	2	2	2	1	2	2	1
Debarments	79	85	91	78	81	80	80
Funds recovered for State (\$)	38,250	477,628	691,705	1,558,695	2,230,998	100,000	800,000
Funds recovered for Maryland subdivisions (\$)	0	0	0	350,154	0	1,800,000	0
Funds recovered for consumers (\$)	3,800,000	1,710,000	9,456,773	2,000,000	1,300,000	2,400,000	1,000,000

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Goal 5. Handle all Medicaid Fraud Control Unit (MFCU) matters.

Obj. 5.1 Handle all MFCU matters to protect the integrity of the Medicaid program and to help protect vulnerable adults.

Performance Measures	2014 Act.	2015 Act.	2016 Act.	2017 Act.	2018 Act.	2019 Est.	2020 Est.
Cases pending beginning of year	328	295	369	435	419	388	358
New cases	261	369	345	208	137	110	100
Total	589	664	714	643	556	498	458
Fraud cases opened	103	140	115	123	85	60	50
Patient abuse cases opened	221	336	230	84	39	50	50
Investigations completed	294	295	279	230	168	140	120
Cases pending end of fiscal year	295	370	435	423	388	358	338
Criminal charges	17	8	12	13	17	20	20
Civil settlements	30	13	15	26	27	20	20
Fines, settlements, restitution, and/or overpayments identified (\$)	42,160,499	7,992,335	10,119,868	3,875,253	15,629,347	3,500,000	3,500,000

Goal 6. To conduct investigations and request the Maryland Insurance Commissioner to initiate actions or proceedings to protect the interests of insurance consumers.

Obj. 6.1 Research matters thoroughly and advocate for an appropriate judicial or legislative resolution that will serve the interests of insurance consumers.

Performance Measures	2014 Act.	2015 Act.	2016 Act.	2017 Act.	2018 Act.	2019 Est.	2020 Est.
Review of Maryland Insurance Commissioner actions	1,314	932	1,043	996	962	975	975
Investigations conducted	151	111	86	58	149	100	100
Requests for Commissioner action	27	39	87	30	36	35	35
Legislative activity	11	11	9	7	1	3	3

Goal 7. To ensure that its attorneys, and all attorneys in the Office of the Attorney General who litigate, provide uniformly high quality litigation services to our clients, Maryland's state agencies, officers and employees.

Obj. 7.1 Litigating those cases most important to the core functions and governmental policies of the State of Maryland, and to the rights and interests of its citizens, or that are of a complexity and size that require special expertise, resources, or attention, and supervising the litigation of other significant cases.

Performance Measures	2014 Act.	2015 Act.	2016 Act.	2017 Act.	2018 Act.	2019 Est.	2020 Est.
Total number of matters litigated by court jurisdiction	145	109	125	143	165	175	175
State Courts	89	68	83	81	92	95	95
Federal Courts	37	26	31	31	37	40	40
Miscellaneous	19	15	11	31	36	40	40

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Goal 8. Handle all Criminal Appeals Division matters and represent the State in criminal appeals.

Obj. 8.1 To competently and efficiently handle all matters assigned to the Division.

Obj. 8.2 To achieve the highest level of affirmances in criminal convictions.

Performance Measures	2014 Act.	2015 Act.	2016 Act.	2017 Act.	2018 Act.	2019 Est.	2020 Est.
Federal cases filed and assigned	76	84	86	81	92	91	91
State cases filed and assigned	1,183	1,151	1,122	1,077	962	1,000	1,050
Dispositions from State court: cases handled by the Division	928	797	749	708	677	680	730
Successful cases	844	715	653	613	599	600	650
Percent successful	91%	90%	87%	87%	88%	88%	88%

Goal 9. Thoroughly and conscientiously evaluate, investigate and, where appropriate, prosecute significant criminal conduct referred to or otherwise identified by the Criminal Investigation Division (CID), including but not necessarily limited to fraud within or affecting State government, health care fraud, identity fraud, securities fraud, non-State employee misconduct, tax fraud, embezzlement and other business crimes, computer crimes, firearms offenses, gang violence, referrals from local State's Attorneys, consumer fraud and multi-jurisdictional criminal conduct.

Obj. 9.1 Properly identify, evaluate, investigate and, where appropriate prosecute CID matters so as to maximize effective and ethical delivery of Division investigative, criminal justice and prosecutorial resources.

Performance Measures	2014 Act.	2015 Act.	2016 Act.	2017 Act.	2018 Act.	2019 Est.	2020 Est.
Matters litigated: Maryland Court of Special Appeals	0	0	0	0	0	0	0
¹ Total Division referrals and general unit activity	2,433	9,012	298	208	N/A	N/A	N/A
Organized Crime Unit							
Indictments	N/A	N/A	66	53	66	55	55
Conviction Rate	N/A	N/A	94%	97%	98%	98%	98%
Fraud and Corruption Unit							
Indictments	N/A	N/A	13	9	36	26	30
Conviction Rate	N/A	N/A	100%	95%	100%	100%	100%

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Goal 10. Increase knowledge and expertise in new areas such as bankruptcy, tech transfers and labor law.

Obj 10.1 Assist clients to resolve bankruptcy and labor issues and to bring scientific discoveries to market.

Performance Measures	2014 Act.	2015 Act.	2016 Act.	2017 Act.	2018 Act.	2019 Est.	2020 Est.
Federal Cases	30	37	27	23	23	23	23
State Cases	72	83	59	63	63	53	53
Administration	107	60	67	94	94	67	67
Advice	2,354	1,950	2,400	2,500	2,500	3,450	3,450
Contracts drafted/reviewed	1,480	1,200	1,300	1,400	1,400	1,680	1,680

NOTES

¹ This number is calculated differently beginning in fiscal year 2016 to exclude Firearms Trafficking Unit referral numbers. Because of an end to the grant funding and a reorganization of the Division, those referrals will not be counted in future years.