

Office of the Attorney General

MISSION

The Office of the Attorney General (OAG) represents the State in all legal matters. The Attorney General acts as legal counsel to the Governor, General Assembly, Judiciary and State agencies, boards and commissions.

VISION

The Office of the Attorney General provides superior legal representation and promotes public safety by providing the highest quality of legal services from a staff that is dedicated to excellence and professionalism. The Office of the Attorney General demonstrates integrity by being ethical and accountable and making principled decisions. The Office of the Attorney General embraces diversity as well as teamwork.

KEY GOALS, OBJECTIVES, AND PERFORMANCE MEASURES

Goal 1. To complete bill review in a timely manner.

Obj. 1.1 Complete the Attorney General's review of bills waiting on the Governor's signature no later than seven days prior to the last bill signing.

Performance Measures	2013 Act.	2014 Act.	2015 Act.	2016 Act.	2017 Act.	2018 Est.	2019 Est.
Number of bills for review	763	811	692	834	935	820	820
Average number of bills reviewed per day/number of calendar days	20.6 / 37	21.3 / 38	23.9 / 29	22 / 38	39/24	27/30	27/30

Goal 2. Handle all Securities Division matters.

Obj. 2.1 To handle all Securities Division matters effectively.

Performance Measures	2013 Act.	2014 Act.	2015 Act.	2016 Act.	2017 Act.	2018 Est.	2019 Est.
Broker/dealer (firm) registration and renewals	2,065	2,032	2,008	1,987	1,951	1,900	1,900
Registered agents (stockbrokers)	179,254	186,272	193,159	201,233	201,132	200,000	200,000
Investment adviser/financial planner (firm) registrations and renewals	633	671	624	618	628	600	600
Federal Covered Adviser notice filings	1,717	1,851	1,910	1,993	2,043	1,950	1,950
Investment adviser/financial planner representative (individual) registration, renewals and notice filings	10,759	11,491	11,626	12,193	12,934	12,000	12,000
Securities registrations, renewals, and exemption and notice filings	27,303	29,995	30,191	31,301	33,094	33,000	33,000
Franchise registration and renewals	1,489	1,498	1,604	1,624	1,677	1,600	1,600
Active cases, investigations and inquiries	1,047	1,104	929	1,313	1,975	1,200	1,200
Registration fees (\$)	25,107,209	26,475,585	27,929,267	28,770,692	29,055,710	31,500,000	32,000,000
Fines imposed, restitution and rescission (\$)	2,263,872	2,376,078	75,833,977	914,505	1,928,977	1,000,000	1,000,000

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Goal 3. Handle all Consumer Protection Division (CPD) matters, including inquiries, complaints, arbitration, and hearings.

Obj. 3.1 Handle consumer protection matters in a timely manner to achieve beneficial outcome to consumers.

Obj. 3.2 Maintain an average time of no more than 110 days between the receipt of a consumer complaint and its disposition.

Performance Measures	2013 Act.	2014 Act.	2015 Act.	2016 Act.	2017 Act.	2018 Est.	2019 Est.
Inquiries	48,273	45,691	44,062	46,808	41,808	40,000	40,000
Complaints	11,335	10,415	10,522	11,456	10,991	11,000	11,200
Arbitrations	75	57	62	56	79	75	75
Cease and Desist Orders and Settlements	104	110	106	54	53	50	50
Recoveries for consumers (\$ millions)	1,037.540	8.686	14.902	12.820	23.618	6.000	6.000
Average days to complaint disposition	52	55	65	66	75	80	80

Goal 4. Handle all antitrust matters.

Obj. 4.1 Handle all antitrust matters for beneficial outcome for the State of Maryland and its citizens.

Performance Measures	2013 Act.	2014 Act.	2015 Act.	2016 Act.	2017 Act.	2018 Est.	2019 Est.
Investigations, inquiries and advice	578	630	580	576	676	353	375
Enforcement actions	19	19	18	19	23	20	20
Parens patriae	5	5	4	4	4	4	4
Other civil	14	14	14	15	19	16	16
Criminal	0	0	0	0	0	0	0
Antitrust defense	0	0	1	0	0	0	0
Amicus briefs	2	2	2	2	1	1	1
Debarments	79	79	85	91	78	90	90
Funds recovered for State (\$)	600,400	38,250	477,628	691,705	1,558,695	3,802,000	800,000
Funds recovered for Maryland subdivisions (\$)	0	0	0	0	350,154	0	0
Funds recovered for consumers (\$)	4,000,000	3,800,000	1,710,000	9,456,773	2,000,000	1,000,000	1,000,000

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Goal 5. Handle all Medicaid Fraud Control Unit (MFCU) matters.

Obj. 5.1 Handle all MFCU matters to protect the integrity of the Medicaid program and to help protect vulnerable adults.

Performance Measures	2013 Act.	2014 Act.	2015 Act.	2016 Act.	2017 Act.	2018 Est.	2019 Est.
Cases pending beginning of year	255	328	295	369	435	423	400
New cases	262	261	369	345	208	200	225
Total	517	589	664	714	643	623	625
Fraud cases opened	145	103	140	115	123	120	120
Patient abuse cases opened	212	221	336	230	84	75	75
Investigations completed	189	294	295	279	230	300	325
Cases pending end of fiscal year	329	295	370	435	423	400	425
Criminal charges	9	17	8	12	13	15	18
Civil settlements	20	30	13	15	26	20	20
Fines, settlements, restitution, and/or overpayments identified (\$)	20,583,916	42,160,499	7,992,335	10,119,868	3,875,253	4,000,000	5,000,000

Goal 6. To conduct investigations and request the Maryland Insurance Commissioner to initiate actions or proceedings to protect the interests of insurance consumers.

Obj. 6.1 Research matters thoroughly and advocate for an appropriate judicial or legislative resolution that will serve the interests of insurance consumers.

Performance Measures	2013 Act.	2014 Act.	2015 Act.	2016 Act.	2017 Act.	2018 Est.	2019 Est.
Review of Maryland Insurance Commissioner actions	1,321	1,314	932	1,043	996	1,020	1,040
Investigations conducted	109	151	111	86	58	66	72
Requests for Commissioner action	9	27	39	87	30	33	36
Legislative activity	9	11	11	9	7	8	8

Goal 7. To ensure that its attorneys, and all attorneys in the Office of the Attorney General who litigate, provide uniformly high quality litigation services to our clients, Maryland's state agencies, officers and employees.

Obj. 7.1 Litigating those cases most important to the core functions and governmental policies of the State of Maryland, and to the rights and interests of its citizens, or that are of a complexity and size that require special expertise, resources, or attention, and supervising the litigation of other significant cases.

Performance Measures	2013 Act.	2014 Act.	2015 Act.	2016 Act.	2017 Act.	2018 Est.	2019 Est.
Total number of matters litigated by court jurisdiction	136	145	109	125	143	140	140
State Courts	87	89	68	83	81	80	80
Federal Courts	28	37	26	31	31	30	30
Miscellaneous	21	19	15	11	31	30	30

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Goal 8. Handle all Criminal Appeals Division matters and represent the State in criminal appeals.

Obj. 8.1 To competently and efficiently handle all matters assigned to the Division.

Obj. 8.2 To achieve the highest level of affirmances in criminal convictions.

Performance Measures	2013 Act.	2014 Act.	2015 Act.	2016 Act.	2017 Act.	2018 Est.	2019 Est.
Federal cases filed and assigned	91	76	84	86	81	81	81
State cases filed and assigned	1,018	1,183	1,151	1,122	1,077	1,100	1,100
Dispositions from State court: cases handled by the Division	657	928	797	749	708	708	708
Successful cases	601	844	715	653	613	613	613
Percent successful	91%	91%	90%	87%	87%	87%	87%

Goal 9. Thoroughly and conscientiously evaluate, investigate and, where appropriate, prosecute significant criminal conduct referred to or otherwise identified by the Criminal Investigation Division (CID), including but not necessarily limited to fraud within or affecting State government, health care fraud, identity fraud, securities fraud, non-State employee misconduct, tax fraud, embezzlement and other business crimes, computer crimes, firearms offenses, gang violence, referrals from local State's Attorneys, consumer fraud and multi-jurisdictional criminal conduct.

Obj. 9.1 Properly identify, evaluate, investigate and, where appropriate prosecute CID matters so as to maximize effective and ethical delivery of Division investigative, criminal justice and prosecutorial resources.

Performance Measures	2013 Act.	2014 Act.	2015 Act.	2016 Act.	2017 Act.	2018 Est.	2019 Est.
Matters litigated: Maryland Court of Special Appeals	0	0	0	0	0	0	0
¹ Total Division referrals and general unit activity	4,439	2,433	9,012	298	208	250	250
Organized Crime Unit							
Indictments	N/A	N/A	N/A	66	53	60	60
Conviction Rate	N/A	N/A	N/A	94%	97%	97%	97%
Fraud and Corruption Unit							
Indictments	N/A	N/A	N/A	13	9	15	15
Conviction Rate	N/A	N/A	N/A	100%	95%	97%	97%

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Goal 10. Increase knowledge and expertise in new areas such as bankruptcy, tech transfers and labor law.

Obj 10.1 Assist clients to resolve bankruptcy and labor issues and to bring scientific discoveries to market.

Performance Measures	2013 Act.	2014 Act.	2015 Act.	2016 Act.	2017 Act.	2018 Est.	2019 Est.
Federal Cases	27	30	37	27	23	30	30
State Cases	69	72	83	59	63	60	60
Administration	118	107	60	67	94	70	70
Advice	3,538	2,354	1,950	2,400	2,500	2,700	2,700
Contracts drafted/reviewed	2,282	1,480	1,200	1,300	1,400	1,400	1,400

Goal 11. To help communities damaged by predatory lending and high numbers of foreclosures by acquiring and renovating homes, demolishing homes that are abandoned and cannot be renovated, and by providing assistance to homeowners moving into vacant or foreclosed housing.

Obj 11.1 Acquire, rehabilitate and maintain occupancy of affordable housing by owners and tenants.

Obj 11.2 Create open space and opportunities for new development where housing is abandoned and in serious disrepair.

Obj 11.3 Assist homebuyers to obtain affordable housing.

Obj 11.4 Provide affordable housing for tenants.

Performance Measures	2013 Act.	2014 Act.	2015 Act.	2016 Act.	2017 Act.	2018 Est.	2019 Est.
² Houses acquired, rehabilitated and preserved	N/A	18	72	68	17	N/A	N/A
² Houses demolished/stabilized	N/A	57	113	230	0	N/A	N/A
² New homeowners assisted with purchase	N/A	247	204	420	29	N/A	N/A
² Tenants provided affordable housing	N/A	0	11	0	0	N/A	N/A
² Estimated grant budget breakdown: Baltimore City (\$)	N/A	1,377,811	832,826	6,001,910	1,787,440	N/A	N/A
² Prince George's County (\$)	N/A	510,022	2,772,897	6,243,069	473,440	N/A	N/A
² Total (\$)	N/A	1,887,833	3,605,723	12,224,979	2,260,880	N/A	N/A

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Goal 12. To investigate potential housing and mortgage-related unfair and deceptive practices and bring enforcement actions where violations of the law are found.

Obj 12.1 Address inquiries from consumers and investors who complain about fraud in mortgage lending, foreclosure and mortgage securitization practices.

Obj 12.2 Investigate possible abuses in the housing and securitization markets, including inappropriate actions in connection with properties in default, court filings, unfair and illegal treatment of tenants, and misleading disclosures to investors about mortgage-backed securities.

Obj 12.3 Take enforcement action to protect consumers and investors.

Performance Measures	2013 Act.	2014 Act.	2015 Act.	2016 Act.	2017 Act.	2018 Est.	2019 Est.
³ Complaints/inquiries addressed	390	273	194	69	50	N/A	N/A
Investigations: Consumer Protection investigations	26	34	21	23	12	10	5
Securities investigations	2	2	1	3	5	5	5
Transactions involved in investigations/actions	84,000	32,240	14,565	37,627	89,000	30,000	15,000
Actions/settlements	1	6	15	9	10	10	10

NOTES

¹ This number is calculated differently beginning in fiscal year 2016 to exclude Firearms Trafficking Unit referral numbers. Because of an end to the grant funding and a reorganization of the Division, those referrals will not be counted in future years.

² Data not available due to the completion of the program.

³ Data was not available at the time of publication.