# **Executive Department - State Ethics Commission**

### **MISSION**

To carry out legislative mandates and policy in support of the public interest in having Maryland's government and its lobbyists conform to established standards of ethical conduct and disclosure.

### **VISION**

A State in which government decisions, operations and services are carried out consistent with high ethical standards.

## **KEY GOALS, OBJECTIVES, AND PERFORMANCE MEASURES**

### Goal 1. Support public trust in its officials and employees.

- Obj. 1.1 Ensure that statutory disclosure filing requirements for officials and lobbyists are met.
- **Obj. 1.2** Develop and distribute information through the Internet or other means to explain Ethics Law requirements to officials, employees, regulated lobbyists and others impacted by the Public Ethics Law.

| Performance Measures  | 2016 Act. | 2017 Act. | 2018 Act. | 2019 Act. | 2020 Act. | 2021 Est. | 2022 Est. |
|---|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Individuals required to file financial disclosure forms       | 14,972    | 15,500    | 16,154    | 16,627    | 16,564    | 15,875    | 16,193    |
| Percentage of financial disclosure forms received by due date | 88%       | 87%       | 86%       | 84%       | 92%       | 86%       | 87%       |
| Financial disclosure forms reviewed                           | 14,481    | 14,726    | 14,035    | 7,091     | 29,691    | 15,875    | 16,193    |
| Lobbyist registrations received and reviewed                  | 3,872     | 3,406     | 3,556     | 3,799     | 3,549     | 3,450     | 3,520     |
| Lobbyist activity reports received and reviewed               | 6,428     | 6,512     | 6,527     | 6,709     | 6,614     | 6,525     | 6,580     |
| State officials receiving training                            | 1,243     | 1,316     | 2,041     | 1,362     | 2,168     | 1,350     | 1,377     |
| Lobbyists receiving training                                  | 345       | 320       | 322       | 328       | 404       | 350       | 320       |

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- Goal 2. To prevent the conduct of State business from being subject to improper influence and avoid, to the extent reasonably possible, the appearance of improper influence through fair but rigorous application of the Public Ethics Law.
  - Obj. 2.1 Provide accurate and timely advice within 60 days to those subject to the requirements of the Ethics Law.
  - **Obj. 2.2** Maintain a system to issue and process complaints and other investigative or enforcement activities consistent with the requirements of the Public Ethics Law. Complete all complaint matters within twelve months of initiation.
  - **Obj. 2.3** Maintain standards for local government ethics laws and rules and ensure requirements are met through technical assistance and review procedures. Review all changes in local programs and respond within 60 days.

| Performance Measures   | 2016 Act.       | 2017 Act. | 2018 Act. | 2019 Act. | 2020 Act. | 2021 Est. | 2022 Est. |
|--|-----------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Commission informal ethics advice issued                       | 480             | 488       | 564       | 616       | 662       | 600       | 600       |
| Percentage of advice provided within 60 days                   | 98%             | 97%       | 90%       | 93%       | 97%       | 95%       | 95%       |
| Formal legal complaints issued                                 | 126             | 36        | 43        | 13        | 51        | 45        | 45        |
| Number of current year complaint actions completed             | 51              | 27        | 18        | 6         | 35        | 35        | 35        |
| Number of prior year complaint actions completed               | 17              | 68        | 4         | 19        | 3         | 10        | 10        |
| Amount of late fees, fines or settlements paid                 | <b>\$4,</b> 800 | \$8,649   | \$6,650   | \$3,600   | \$5,500   | \$3,500   | \$3,600   |
| Percentage of completed complaint actions closed within twelve |                 |           |           |           |           |           |           |
| months of initiation   | 42%             | 75%       | 42%       | 46%       | 69%       | 71%       | 73%       |
| Number of local governments requesting assistance              | 21              | 25        | 41        | 56        | 19        | 25        | 25        |
| Local government ordinances approved                           | 12              | 10        | 22        | 38        | 7         | 10        | 10        |
| Percentage of responses provided within 60 days                | 100%            | 100%      | 100%      | 100%      | 100%      | 100%      | 100%      |