

Office of the Attorney General

MISSION

The Office of the Attorney General (OAG) represents the State in all legal matters. The Attorney General acts as legal counsel to the Governor, General Assembly, Judiciary and State agencies, boards and commissions.

VISION

The Office of the Attorney General provides superior legal representation and promotes public safety by providing the highest quality of legal services from a staff that is dedicated to excellence and professionalism. The Office of the Attorney General demonstrates integrity by being ethical and accountable and making principled decisions. The Office of the Attorney General embraces diversity as well as teamwork.

KEY GOALS, OBJECTIVES, AND PERFORMANCE MEASURES

Goal 1. To complete bill review in a timely manner.

Obj. 1.1 Complete the Attorney General's review of bills waiting on the Governor's signature no later than seven days prior to the last bill signing.

Performance Measures	2017 Act.	2018 Act.	2019 Act.	2020 Act.	2021 Act.	2022 Est.	2023 Est.
Number of bills for review	935	889	864	681	817	800	800
Average number of bills reviewed per day/number of calendar days	39/24	28/32	32/27	15/44	38/21.5	32/27	32/27

Goal 2. Handle all Securities Division matters.

Obj. 2.1 To handle all Securities Division matters effectively.

Performance Measures	2017 Act.	2018 Act.	2019 Act.	2020 Act.	2021 Act.	2022 Est.	2023 Est.
Broker/dealer (firm) registration and renewals	1,951	1,927	1,880	1,851	1,832	1,800	1,800
Registered agents (stockbrokers)	201,132	207,214	210,082	209,987	220,487	215,000	215,000
Investment adviser/financial planner (firm) registrations and renewals	628	619	622	616	629	625	625
Federal Covered Adviser notice filings	2,043	2,148	2,151	2,206	2,297	2,200	2,200
Investment adviser/financial planner representative (individual) registration, renewals and notice filings	12,934	13,036	13,292	13,104	13,916	13,500	13,500
Securities registrations, renewals, and exemption and notice filings	33,094	31,590	31,684	30,601	33,216	32,500	32,500
Franchise registration and renewals	1,677	1,703	1,692	1,585	1,657	1,625	1,650
Active cases, investigations and inquiries	1,975	1,431	1,005	958	1,126	800	800
Registration fees (\$)	29,055,710	31,847,667	34,082,246	28,286,101	28,242,529	27,500,000	27,500,000
Fines imposed, restitution and rescission (\$)	1,928,977	7,800,789	6,228,751	17,857,904	40,906,414	2,000,000	2,000,000

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Goal 3. Handle all Consumer Protection Division (CPD) matters, including inquiries, complaints, arbitration, and hearings.

Obj. 3.1 Handle consumer protection matters in a timely manner to achieve beneficial outcome to consumers.

Obj. 3.2 Maintain an average time of no more than 110 days between the receipt of a consumer complaint and its disposition.

Performance Measures	2017 Act.	2018 Act.	2019 Act.	2020 Act.	2021 Act.	2022 Est.	2023 Est.
Inquiries	41,808	41,251	43,418	34,878	37,120	40,000	41,000
Complaints	10,991	10,352	10,467	10,900	10,064	10,500	10,700
Arbitrations	79	68	43	35	11	40	60
Cease and Desist Orders and Settlements	53	48	31	61	46	36	36
Recoveries for consumers (\$ millions)	23.618	13.257	25.894	26.271	29.067	8.000	8.000
Average days to complaint disposition	75	69	83	74	101	95	90

Goal 4. Handle all antitrust matters.

Obj. 4.1 Handle all antitrust matters for beneficial outcome for the State of Maryland and its citizens.

Performance Measures	2017 Act.	2018 Act.	2019 Act.	2020 Act.	2021 Act.	2022 Est.	2023 Est.
Investigations, inquiries and advice	676	428	421	424	387	400	400
Enforcement actions	23	26	32	35	38	38	38
Parens patriae	4	4	5	5	8	8	8
Other civil	19	22	28	28	30	30	30
Criminal	0	0	0	0	0	0	0
Antitrust defense	0	0	0	0	0	0	0
Amicus briefs	1	2	2	0	3	1	1
Debarments	78	81	83	83	0	3	3
Funds recovered for State (\$)	1,558,695	2,230,998	42,533	257,624	363,117	1,000,000	1,000,000
Funds recovered for Maryland subdivisions (\$)	350,154	0	1,552,474	405,209	0	0	0
Funds recovered for consumers (\$)	2,000,000	1,300,000	2,358,006	1,171,129	0	1,000,000	1,000,000

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Goal 5. Handle all Medicaid Fraud Control Unit (MFCU) matters.

Obj. 5.1 Handle all MFCU matters to protect the integrity of the Medicaid program and to help protect vulnerable adults.

Performance Measures	2017 Act.	2018 Act.	2019 Act.	2020 Act.	2021 Act.	2022 Est.	2023 Est.
Cases pending beginning of year	435	419	389	302	329	350	350
New cases	208	137	142	193	152	175	175
Total	643	556	531	495	481	525	525
Fraud cases opened	123	85	93	136	106	125	125
Patient abuse cases opened	84	39	49	67	46	50	50
Investigations completed	230	168	222	176	131	175	175
Cases pending end of fiscal year	423	388	309	329	350	350	350
Criminal charges	13	17	8	7	6	12	12
Civil settlements	26	27	20	17	15	20	20
Fines, settlements, restitution, and/or overpayments identified (\$)	3,875,253	15,629,347	6,984,305	32,757,530	19,166,233	10,000,000	10,000,000

Goal 6. To conduct investigations and request the Maryland Insurance Commissioner to initiate actions or proceedings to protect the interests of insurance consumers.

Obj. 6.1 Research matters thoroughly and advocate for an appropriate judicial or legislative resolution that will serve the interests of insurance consumers.

Performance Measures	2017 Act.	2018 Act.	2019 Act.	2020 Act.	2021 Act.	2022 Est.	2023 Est.
Review of Maryland Insurance Commissioner actions	996	962	1,080	974	918	986	986
Investigations conducted	58	149	161	124	57	110	110
Requests for Commissioner action	30	36	16	19	3	20	20
Legislative activity	7	1	7	13	7	7	7

Goal 7. To ensure that its attorneys, and all attorneys in the Office of the Attorney General who litigate, provide uniformly high quality litigation services to our clients, Maryland's state agencies, officers and employees.

Obj. 7.1 Litigating those cases most important to the core functions and governmental policies of the State of Maryland, and to the rights and interests of its citizens, or that are of a complexity and size that require special expertise, resources, or attention, and supervising the litigation of other significant cases.

Performance Measures	2017 Act.	2018 Act.	2019 Act.	2020 Act.	2021 Act.	2022 Est.	2023 Est.
Total number of matters litigated by court jurisdiction	143	165	205	238	228	250	250
State Courts	81	92	102	100	75	100	100
Federal Courts	31	37	74	94	98	100	100
Miscellaneous	31	36	29	44	55	50	50

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Goal 8. Handle all Criminal Appeals Division matters and represent the State in criminal appeals.

Obj. 8.1 To competently and efficiently handle all matters assigned to the Division.

Obj. 8.2 To effectively represent the State in criminal cases pending before the appellate courts.

Performance Measures	2017 Act.	2018 Act.	2019 Act.	2020 Act.	2021 Act.	2022 Est.	2023 Est.
Federal cases filed and assigned	81	92	80	72	76	80	80
State cases filed and assigned	1,077	962	1,173	994	1,001	1,100	1,100
Dispositions from State court: cases handled by the Division	708	677	545	616	608	631	631
Successful cases	613	599	447	529	468	520	540
Percent successful	87%	88%	82%	86%	77%	82%	86%

Goal 9. Thoroughly and conscientiously evaluate, investigate and, where appropriate, prosecute significant criminal conduct referred to or otherwise identified by the Criminal Investigation Division (CID), including but not necessarily limited to fraud within or affecting State government, health care fraud, identity fraud, securities fraud, non-State employee misconduct, tax fraud, embezzlement and other business crimes, computer crimes, firearms offenses, gang violence, referrals from local State's Attorneys, consumer fraud and multi-jurisdictional criminal conduct.

Obj. 9.1 Properly identify, evaluate, investigate and, where appropriate prosecute CID matters so as to maximize effective and ethical delivery of Division investigative, criminal justice and prosecutorial resources.

Performance Measures	2017 Act.	2018 Act.	2019 Act.	2020 Act.	2021 Act.	2022 Est.	2023 Est.
Matters litigated: Maryland Court of Special Appeals	0	0	2	0	2	0	0
Total Division referrals and general unit activity	208	N/A	285	378	242	240	240
Organized Crime Unit							
Indictments	53	66	53	25	127	55	55
Conviction Rate	97%	98%	95%	87%	100%	95%	95%
Fraud and Corruption Unit							
Indictments	9	36	14	13	11	17	17
Conviction Rate	95%	100%	100%	100%	100%	95%	95%

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Goal 10. Increase knowledge and expertise in new areas such as bankruptcy, tech transfers and labor law.

Obj 10.1 Assist clients to resolve bankruptcy and labor issues and to bring scientific discoveries to market.

Performance Measures	2017 Act.	2018 Act.	2019 Act.	2020 Act.	2021 Act.	2022 Est.	2023 Est.
Federal Cases	23	23	24	45	47	45	45
State Cases	63	53	58	46	50	45	45
¹ Administration	94	67	70	42	27	30	30
Advice	2,500	3,450	3,450	3,450	3,450	3,450	3,450
Contracts drafted/reviewed	1,400	1,680	1,680	1,680	1,680	1,680	1,680

NOTES

¹ Metric is in the process of being redefined.