

**FOURTH MODIFICATION TO DENTAL PREFERRED PROVIDER ORGANIZATION
PLAN ADMINISTRATION AND INSURANCE SERVICES CONTRACT**

THIS FOURTH MODIFICATION AGREEMENT is made this 18 of March 2013 by and between United Concordia Life and Health Insurance Company (Contractor) and the State of Maryland, acting through the Department of Budget and Management.

IN CONSIDERATION of the promises and the covenants herein contained, the parties agree to modify the Contract for Dental Preferred Provider Organization Plan Administration and Insurance Services dated March 18, 2009, as amended by a First Modification dated July 1, 2009, a Second Modification dated September 15, 2009, and a Third Modification dated June 2, 2011 between the Contractor and the State of Maryland, acting through the Department of Budget and Management as follows:

1. Definitions

In this Modification, the following words have the meanings indicated:

- 1.1 “Calendar Plan Year” means the twelve-month period of benefit coverage beginning January 1 and ending December 31.
- 1.2 “Contract” means the Contract for Dental Preferred Provider Organization Plan Administration and Insurance Services between the Contractor and the State of Maryland acting through the Department of Budget and Management dated March 18, 2009.
- 1.3 “Contractor” means United Concordia Dental Plans, Inc.
- 1.4 “Contract Year 5” means the fifth year of the Contract beginning July 1, 2013 through June 30, 2014, as defined in the Financial Proposal.
- 1.5 “Department” means the Maryland Department of Budget and Management.
- 1.6 “Financial Proposal” means the Contractor’s Best and Final Financial Offer for Dental Preferred Provider Organization coverage submitted and dated January 14, 2009.
- 1.7 “Fiscal Plan Year” means the twelve-month period of benefit coverage beginning July 1 and ending June 30.
- 1.8 “Modification” means this Modification Agreement.
- 1.9 “RFP” means the Request for Proposals for Dental Benefits Program, No. F10B82000014, dated April 14, 2008 and as amended through October 27, 2008.
- 1.10 “Short Plan Year” means the six-month period of benefit coverage beginning July 1, 2013 and ending December 31, 2013.

- 1.11 "Short Plan Year Open Enrollment" means the Open Enrollment period prior to the Short Plan Year.
- 1.12 "State" means the State of Maryland.
- 1.13 "Technical Proposal" means Contractor's Technical Proposal for Dental Preferred Provider Organization coverage, dated June 17, 2008 as supplemented and revised by the Contractor's subsequent responses to questions, requests for cure, and Best and Final Offer (BAFO) submissions through January 14, 2009.

2. Scope of Modification

This Modification amends the Contract specifically as described herein. Except as specifically revised by the terms of this Modification, all of the terms of the Contract shall remain in full force and effect and shall apply to this Modification.

3. Term of the Contract

Section 3 of the Contract shall be amended to extend the Contract end date from June 30, 2014 to December 31, 2014.

4. Plan Year

- 4.1 The 2014 Fiscal Plan Year, beginning July 1, 2013, will be converted to the Short Plan Year ending December 31, 2013.
- 4.2 A Calendar Plan Year will commence January 1, 2014 and terminate at the end of the Contract, as amended in this Modification, on December 31, 2014.
- 4.3 All references in the Contract, including documents incorporated therein, to "fiscal year", "plan year", or "year" with regard to plan design, administering benefits, and obligations of the Contractor impacted by the change from the Fiscal Year Plan to the Calendar Year Plan are replaced with "Calendar Plan Year", as the case may be.

5. Open Enrollment Services

The Contractor agrees to provide all Open Enrollment Services, as specified in the Contract, for both the Short Plan Year and the 2014 Calendar Plan Year.

6. Short Plan Year Provisions

- 6.1 The Contract Year 5 Premium Rates required by the RFP, Attachment P-4, and any and all rates quoted by the Contractor in its Financial Proposal with regard to Contract Year 5 shall apply to the Short Plan Year without increase. All provisions in the RFP, Contract, and all documents incorporated therein specific to Contract Year 5, or the Fiscal Plan Year period of July 1, 2013 through June 30, 2014 shall apply to the Short Plan Year.

6.2 Deductibles and Out-of-Pocket Maximums will be cut in half for the Short Plan Year and the Contract amended as follows:

(a) Short Plan Year Deductibles (for Class II and III services only):

Individual: \$25
Family: \$75

(b) Per participant Short Plan Year Plan Maximum (for Class II and III services only):

\$1,000

6.3 Performance Guarantees and Reporting Requirements as defined in the RFP shall remain in effect for the Short Plan Year except as modified as follows:

(a) All Fiscal Plan Year annual Performance Guarantees, annual Reporting Requirements, and any annual provision of the Technical Proposal apply to the Short Plan Year covering the period July 1, 2013 through December 31, 2013.

(b) During the Short Plan Year, Fiscal Plan Year quarterly Performance Guarantees, quarterly Reporting Requirements, and any quarterly provision of the Technical Proposal will be made available for Quarter 1 (ending September 30, 2013) and Quarter 2 (ending December 31, 2013).

7. 2014 Calendar Plan Year Provisions

7.1 The Contract Year 5 Premium Rates required by the RFP, Attachment P-4, and any and all rates quoted by the Contractor in its Financial Proposal with regard to Contract Year 5 shall apply to the 2014 Calendar Plan Year without increase. All provisions in the RFP, Contract, and all documents incorporated therein specific to Contract Year 5, or the Fiscal Plan Year period of July 1, 2013 through June 30, 2014 shall apply to the 2014 Calendar Plan Year.

7.2 Performance Guarantees and Reporting Requirements as defined in the RFP shall apply to the Calendar Plan Year as provided in the Contract and as amended herein.

7.3 For the 2014 Calendar Plan Year, the Quarterly periods will be amended and defined as follows:

First Quarter: January-March
Second Quarter: April-June
Third Quarter: July-September
Fourth Quarter: October-December

7.4 The schedule of quarterly plan performance measurement report cards required by the RFP Attachment O-9, Performance Guarantee 5 shall be:

First Quarter: Due May 1st
Second Quarter: Due August 1st
Third Quarter: Due November 1st
Fourth Quarter: Due February 1st

7.5 The schedule of quarterly plan performance measurement report cards required by the RFP Attachment O-9, Performance Guarantee 6 shall be:

First Quarter: Due July 15th
Second Quarter: Due October 15th
Third Quarter: Due January 15th
Fourth Quarter: Due April 15th

IN WITNESS THEREOF, the parties have executed this Fourth Modification Agreement as of the date hereinabove set forth.

CONTRACTOR:
United Concordia Life and Health
Insurance Company

STATE OF MARYLAND:
**DEPARTMENT OF BUDGET AND
MANAGEMENT**

By: J

By: T. Eloise Foster, Secretary

Date: 03/18/2013

Date: 4/3/13

Witness: [Redacted]

Witness: [Redacted]

APPROVED FOR FORM AND LEGAL SUFFICIENCY
THIS 20 DAY OF March, 2013.

[Redacted]
ASSISTANT ATTORNEY GENERAL

APPROVED BY BPW: 3/23/13
(Date)

11-5-Mod
(BPW Item#)